

HIPAA has arrived.

Since the 2003 HIPAA requirements, you have probably received lots of information from health care providers and insurance companies. The HIPAA regulations require that you be notified of the new rules. The next four pages contain the legalese version as it applies to psychologists. The last page lets me document that I told you about the HIPAA regulations. The rest of this page attempts to break it down into simple English:

- If you have health care insurance, *I will automatically submit claims to your insurance carrier unless you tell me otherwise.* The only thing of interest on the claim form will be your diagnosis code. If I have to obtain authorization for further treatment, you and I will review the information that will go on any form before it leaves this office. On rare occasions, the treatment review is done by phone. During one of our sessions, we can review the information I plan to share.
- Nothing else about you or my work with you (or other members of your family) will be released to anyone else without your written consent. This includes both oral and written information. There are a few exceptions. In general, the exceptions involve evaluations ordered by protective services (DFCS) or the courts, child or elder abuse, or risks of suicide or homicide. If I believe my work with you will or might involve one of them, I will discuss it with you. (Of course, feel free to ask if you have any concerns.)
- If you plan to use fax or email to communicate with me, please be sure we discuss confidentiality and response time issues first. While I usually check for e-mail several times a day, there are exceptions. Remember that cell phones are not as secure as “land lines.” When I am away from the office, I sometimes use my cell phone to return calls. Please let me know if you want me to only use a land line when we are talking by phone. If you send me a fax, please leave me a phone message to alert me to watch for it. On rare occasions, the fax machine runs out of ink, or the sender misdials, or – one time – the sender put the pages with the wrong side down and I got 10 blank pages. It is important to understand that I cannot guarantee the privacy or confidentiality of e-mail. The legal protections regarding the confidentiality of the client – psychologist relationship may not apply to email content.
- While the HIPAA regulations do not require that I let you see my written psychotherapy notes, in 25 years of practice I have never denied a client the freedom to do so.

If you have any questions about how HIPAA requirements may impact the work we do, please let me know.

GEORGIA NOTICE FORM

Notice of Psychologist's Policies and Practices to Protect the Privacy of Your Health Information

THIS NOTICE DESCRIBES HOW PSYCHOLOGICAL AND MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

I. Uses and Disclosures for Treatment, Payment, and Health Care Operations

I may use or disclose your protected health information (PHI), for treatment, payment, and health care operations purposes with your consent. To help clarify these terms, here are some definitions:

- “PHI” refers to information in your health record that could identify you.
- “Treatment, Payment and Health Care Operations”
 - *Treatment* is when I provide, coordinate or manage your health care and other services related to your health care. An example of treatment would be when I consult with another health care provider, such as your family physician or another psychologist.
 - *Payment* is when I obtain reimbursement for your healthcare. Examples of payment are when I disclose your PHI to your health insurer to obtain reimbursement for your health care or to determine eligibility or coverage.
 - *Health Care Operations* are activities that relate to the performance and operation of my practice. Examples of health care operations are quality assessment and improvement activities, business-related matters such as audits and administrative services, and case management and care coordination.
- “Use” applies only to activities within my office such as sharing, employing, applying, utilizing, examining, and analyzing information that identifies you.
- “Disclosure” applies to activities outside of my office such as releasing, transferring, or providing access to information about you to other parties.

II. Uses and Disclosures Requiring Authorization

I may use or disclose PHI for purposes outside of treatment, payment, or health care operations when your appropriate authorization is obtained. An “authorization” is written permission above and beyond the general consent that permits only specific disclosures. In those instances when I am asked for information for purposes outside of treatment, payment or health care operations, I will obtain an authorization from you before releasing this information. I will also need to obtain an authorization before releasing your Psychotherapy Notes. “Psychotherapy Notes” are notes I have made about our conversation during a private, group, joint, or family counseling session, which I have kept separate from the rest of your medical record. These notes are given a greater degree of protection than PHI.

You may revoke all such authorizations (of PHI or Psychotherapy Notes) at any time, provided each revocation is in writing. You may not revoke an authorization to the extent that (1) I have relied on that authorization; or (2) if the authorization was obtained as a condition of obtaining insurance coverage, law provides the insurer the right to contest the claim under the policy.

III. Uses and Disclosures with Neither Consent nor Authorization

I may use or disclose PHI without your consent or authorization in the following circumstances:

1. *Child Abuse* – If I have reasonable cause to believe that a child has been abused, I must report that belief to the appropriate authority.
2. *Adult and Domestic Abuse* – If I have reasonable cause to believe that a disabled adult or elder person has had a physical injury or injuries inflicted upon such disabled adult or elder person, other than by accidental means, or has been neglected or exploited, I must report that belief to the appropriate authority.
3. *Health Oversight Activities* – If I am the subject of an inquiry by the Georgia Board of Psychological Examiners, I may be required to disclose protected health information regarding you in proceedings before the Board.
4. *Judicial and Administrative Proceedings* – If you are involved in a court proceeding and a request is made about the professional services I provided you or the records thereof, such information is privileged under state law, and I will not release information without your written consent or a court order. The privilege does not apply when you are being evaluated for a third party or where the evaluation is court ordered. You will be informed in advance if this is the case.
5. *Serious Threat to Health or Safety* – If I determine, or pursuant to the standards of my profession should determine, that you present a serious danger of violence to yourself or another, I may disclose information in order to provide protection against such danger for you or the intended victim.
6. *Worker's Compensation* – I may disclose protected health information regarding you as authorized by and to the extent necessary to comply with laws relating to worker's compensation or other similar programs, established by law, that provide benefits for work-related injuries or illness without regard to fault.

IV. Patient's Rights and Psychologist's Duties

Patient's Rights:

1. *Right to Request Restrictions* – You have the right to request restrictions on certain uses and disclosures of protected health information. However, I am not required to agree to a restriction you request.
2. *Right to Receive Confidential Communications by Alternative Means and at Alternative Locations* – You have the right to request and receive confidential communications of PHI by alternative means and at alternative locations. (For example, you may not want a family member to know that you are seeing me. On your request, I will send your bills to another address.)
3. *Right to Inspect and Copy* – You have the right to inspect or obtain a copy (or both) of PHI in my mental health and billing records used to make decisions about you for as long as the PHI is maintained in the record. I may deny your access to PHI under certain circumstances, but

in some cases you may have this decision reviewed. On your request, I will discuss with you the details of the request and denial process.

4. *Right to Amend* – You have the right to request an amendment of PHI for as long as the PHI is maintained in the record. I may deny your request. On your request, I will discuss with you the details of the amendment process.
5. *Right to an Accounting* – You generally have the right to receive an accounting of disclosures of PHI. On your request, I will discuss with you the details of the accounting process.
6. *Right to a Paper Copy* – You have the right to obtain a paper copy of the notice from me upon request, even if you have agreed to receive the notice electronically.

V. Psychologist's Duties:

1. I am required by law to maintain the privacy of PHI and to provide you with a notice of my legal duties and privacy practices with respect to PHI.
2. I reserve the right to change the privacy policies and practices described in this notice. Unless I notify you of such changes, however, I am required to abide by the terms currently in effect.
3. If I revise my policies and procedures, I will post the revision here in the office.

VI. Complaints

If you are concerned that I have violated your privacy rights, or you disagree with a decision I made about access to your records, you may contact the Ethics Committee of the Georgia Psychological Association, 1750 Century Circle, Suite 10, Atlanta, GA 30345 (404-634-6272). Alternatively, you may contact the Psychology Licensing Board at the Secretary of State's office,

You may also send a written complaint to the Secretary of the U.S. Department of Health and Human Services. The person listed above can provide you with the appropriate address upon request.

VII. Effective Date, Restrictions, and Changes to Privacy Policy

This notice went into effect on April 15, 2003.

I reserve the right to change the terms of this notice and to make the new notice provisions effective for all PHI that I maintain. If you are still an active client, I will provide you with a revised notice at your next session following the revision.

Paul W. Schenk, Psy.D.

I have read [] and/or received [] a copy of the HIPAA notice regarding Dr. Schenk's
office policies and practices to protect my health information.
initial initial

Date

Printed Name

Signature